

HKQAA Online Symposium
香港品質保證局網上專題研討會

Sustainable Finance • Hong Kong 2020
可持續發展金融 • 香港2020

23.10.2020

(Friday 星期五)



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香港品質保證局保留更改是次網上研討會內容之權利

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HKQAA
HONG KONG QUALITY ASSURANCE AGENCY
香港品質保證局

Co-organiser
協辦

HKEX
香港交易所

Chairman's Message

主席的話



Ir C. S. Ho
何志誠工程師

Chairman of HKQAA
香港品質保證局主席

On behalf of Hong Kong Quality Assurance Agency (HKQAA), I am pleased to welcome you to the HKQAA Online Symposium 2020.

Since the adoption of the Paris Agreement and the United Nations Sustainable Development Goals (SDGs), sustainable finance has become a priority for governments, enterprises and financial institutions.

Our country has placed a high priority on implementing the SDGs. Green and sustainable finance has become an important strategic tool for promoting sustainable development and regional cooperation in the Greater Bay Area and along the Belt and Road. The Hong Kong SAR Government and regulators have launched various policies and initiatives to promote environmental, social, and governance (ESG) and green finance in the market. Enterprises, investors and stakeholders increasingly view sustainability performance as an indicator of corporate risk and value.

According to a sustainable finance progress report released by the United Nations Environment Programme in 2019, the SDGs and ESG criteria are increasingly being incorporated into investment and management decision-making. Green bonds and other sustainability linked financial instruments are growing rapidly and it is estimated that, by 2030, a US\$5-\$7 trillion annual investment will be required to deliver the SDGs.

Another report recently released by the United Nations Principles for Responsible Investment stated that the COVID-19 crisis has highlighted the limitations and shortcomings in the current economic system, leading some policymakers to focus on how financial markets can become more sustainable and inclusive. The global prevention and control strategies will accelerate the pace of sustainable financial development.

To stay ahead of the megatrend, the theme of this year's HKQAA Symposium is "Sustainable Finance • Hong Kong". We have brought together renowned local and overseas speakers to share their insights on sustainability strategies for addressing today's challenges and opportunities. We aim to stimulate organisations to think ahead, bring together ideas and navigate new directions, so as to contribute to the SDGs and achieve long-term success.

May I express our gratitude to our distinguished guests, speakers, co-organiser, partners, sponsors, supporting organisations and participants for their unfailing support. Our first Online Symposium would not be a success without the involvement of all of you.

Thank you very much.

我謹代表香港品質保證局，歡迎各位蒞臨「香港品質保證局網上專題研討會 2020」。

自《巴黎協定》和聯合國可持續發展目標落實後，可持續發展金融已成為政府、企業和金融機構的發展重點之一。

國家高度重視可持續發展目標的落實，綠色和可持續發展金融已成為大灣區和「一帶一路」沿線，促進可持續發展和區域合作的重要策略工具。香港特區政府和監管機構亦推出了多項政策和倡議，在市場上推廣環境、社會和企業管治 (ESG) 及綠色金融。同時，企業、投資者及持分者對可持續發展績效日益重視，紛紛以此作為衡量企業風險和價值的指標。

聯合國環境規劃署去年發佈的可持續發展金融進度報告顯示，愈來愈多投資和管理決策，都將可持續發展目標以及 ESG 元素納入其中。綠色債券和其他與可持續發展相關的金融工具亦增長迅速，預計全球每年需要 5 至 7 萬億美元相關投資，才可以在 2030 年前實現可持續發展目標。

聯合國負責任投資原則組織最近發表的報告亦指出，新冠病毒疫情危機，暴露了現有經濟體系的局限性和不足之處。促使決策者更著眼於提升金融市場的可持續發展性及包容性。各國面對疫情所採取的防控手段，將會令可持續金融發展的步伐加快。

在這大趨勢下，今年專題研討會的主題定為「可持續發展金融 • 香港」，匯萃本地及海外的專家講者，分享可持續發展的前瞻策略，以應對當前挑戰和機遇。我們期望能集思廣益，啟迪機構放眼長遠發展，探索創新方向，為實現可持續發展目標作出貢獻，推動業界取得長遠成功。

謹此向各位嘉賓、講者、協辦機構、夥伴機構、贊助機構、支持機構及與會嘉賓，致以衷心的謝意。有賴各位的鼎力支持，本局首次網上專題研討會才得以成功舉行。

謝謝！

Chief Executive Officer's Message

總裁的話



Dr Michael P. H. Lam
林寶興博士

Chief Executive Officer of
HKQAA
香港品質保證局總裁

Since its establishment by the Hong Kong Government in 1989, HKQAA has been dedicated to helping the business community enhance its performance through technology transfer and knowledge exchange, and by providing professional conformity assessment services. In 2001, we launched our first HKQAA Symposium to establish a platform for industry practitioners to exchange frontier information. This year's Symposium covers various hot topics in sustainable finance. Industry leaders and experts will discuss the latest developments in management and investment strategies for sustainability.

With the growing concern about sustainable development around the world, more enterprises and investors have incorporated sustainability elements into their business and investment strategies. Independent and impartial third-party conformity assessment bodies therefore play a significant role in facilitating the long-term and healthy development of sustainable finance and enterprises.

As one of the leading conformity assessment bodies in the region, HKQAA strives to establish a sustainable business and investment environment. Apart from introducing international standards, HKQAA has developed a wide range of innovative services, such as the HKQAA CSR Index, assessment and rating services for the Hang Seng Corporate Sustainability Index Series, validation and verification services for UNFCCC Clean Development Mechanism projects, and the Green Finance Certification Scheme for green bonds, green loans, green funds and ESG funds. We believe our services will help strengthen the sustainability performance of business, and enhance transparency and credibility, thereby increasing the confidence of investors and stakeholders.

In 2020, COVID-19 has posed major challenges throughout the world. To support the community in the fight against the pandemic together, HKQAA developed conformity assessment services related to medical face masks, hygiene measures and health ambassador. We also launched the COVID-19 Resilience Finance Certification Scheme to encourage more issuers to direct their funds to projects related to pandemic prevention, control and recovery, and building resilience against COVID-19.

In early October, HKQAA further rolled out the Anti-Epidemic Hygiene Measures Certification Scheme. Partnering with the Hong Kong Tourism Board to promote the Scheme in the first phase, we strive to strengthen the public and visitor confidence in out-of-home consumption and travel.

Under the new normal, HKQAA is committed to providing solutions for industry and society by embracing new challenges. Our ultimate goal is joining hands with various sectors to build a sustainable future for everyone.

香港品質保證局自 1989 年由香港政府成立至今，一直致力提供專業的合格評定服務，並透過知識傳遞和技術轉移，協助業界不斷提升水平。自 2001 年起，我們舉辦「香港品質保證局專題研討會」，為業界提供交流平台，分享新知灼見。今年，我們聚焦可持續發展金融的熱門課題，邀請業界領袖和專家，探討最新的可持續發展管理及投資策略。

全球對可持續發展日益重視，企業及投資者紛紛將可持續發展元素納入營商及投資策略之中；而獨立、公正的第三方合格評定機構，從中扮演著十分重要的角色，有助促進可持續發展金融及企業的長遠健康發展。

本局作為區內最具領導地位的合格評定機構之一，積極締造可持續發展的營商和投資環境。除了引入相關的國際標準外，亦開發嶄新服務，如推出「社會責任指數」，為「恒生可持續發展企業指數系列」提供評級服務，為《聯合國氣候變化框架公約》「清潔發展機制」項目提供審定及核查服務，以及制定「綠色金融認證計劃」，應用於綠色債券、綠色貸款、綠色基金和 ESG 基金等。本局致力推動業界優化可持續發展表現，提升透明度及可信度，從而增加投資者及持份者的信心。

今年新冠肺炎肆虐，迫使全球面臨重大挑戰，本局為配合各界同心應對疫情，開發了有關防護口罩、衛生措施及健康大使等方面的合格評定服務，並推出「抗疫金融認證計劃」，鼓勵更多發行者將資金引導至與疫情防控、復甦和建立復原能力相關的項目。

十月初，本局進一步推出「衛生防疫措施認證計劃」，並於首階段與香港旅遊發展局合作推廣，以助加強公眾外出消費及旅客訪港的信心。

本局銳意在新常態下，提供更多解決方案予企業及社會，與各界一起應對未來的挑戰，攜手建設一個可持續發展的未來。

Congratulatory Message

賀辭



The Hon Mrs Carrie Lam
Cheng Yuet-ngor, GBM, GBS

林鄭月娥女士
大紫荊勳賢, GBS

The Chief Executive of
the Hong Kong Special
Administrative Region
香港特別行政區行政長官

香港品質保證局專題研討會
可持續發展金融 · 香港二零二零

集思籌策
創富惠羣

行政長官林鄭月娥



Congratulatory Message

賀辭



Mrs Laura M Cha
GBM, GBS, JP
史美倫女士
大紫荊勳賢, GBS, 太平紳士

Chairman of Hong Kong
Exchanges and Clearing
Limited
香港交易及結算所有限公司
主席

香港品質保證局專題研討會
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集賢匯智
續創未來

香港交易所主席

Congratulatory Message

賀辭



Mr Christopher Hui Ching-yu,
JP

許正宇先生, 太平紳士

Secretary for Financial
Services and the Treasury,
the Government of the
HKSAR

香港特別行政區政府
財經事務及庫務局局長

香港品質保證局專題研討會二零二零

崇優立範
展貿弘商

財經事務及庫務局局長許正宇



Congratulatory Message

賀辭



Mr Wong Kam-sing, GBS, JP
黃錦星先生, GBS, 太平紳士

Secretary for the Environment,
the Government of the HKSAR
香港特別行政區政府
環境局局長

香港品質保證局專題研討會
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博 綠
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環境局局長黃錦星



About HKQAA Symposium

香港品質保證局研討會簡介

Accomplishing 達成一貫使命

Accomplishing the mission of HKQAA to introduce international management standards, promote good management practices and sustainability, as well as assisting organisations to enhance performance, we launched our first Symposium in year 2001. Knowledge of contemporary management and experience of commendable good practices are shared, while business opportunities are explored by participants of the annual HKQAA Symposium.

達成一貫使命 — 香港品質保證局在 2001 年舉行了首屆的專題研討會，致力引進國際標準，提倡優質管理辦法和可持續發展，並協助機構提升表現。知名講者分享現代管理的知識和實踐良好作業模式的經驗，參與者也能透過這個年度研討會，開拓新的營商機遇。

Committing 承諾給予最好

Committing to offer our best, this year's Symposium discusses the sustainability strategies to address today's challenges and opportunities. In keeping with the development of sustainable economy, the main theme of this year's Symposium is "Sustainable Finance • Hong Kong 2020". We believe this can stimulate audience to think ahead and navigate new strategic directions in order to have a sustainable organisation.

承諾給予最好 — 今年我們安排了一系列的研討會專題，探討具前瞻性的可持續發展策略，以應對現今各種挑戰和機遇。為配合可持續經濟的發展，本屆研討會的主題為「可持續金融 • 香港 2020」，期望啟發業界籌謀長遠發展的新方向，成就可持續發展的機構。

Realising 實踐首要功能

Realising its primary function, HKQAA Online Symposium 2020 has brought together the most acclaimed speakers from various business sectors, non-government organisations, associations and government departments to share the latest trends of sustainable financing and management strategies, international standard development, COVID-19's impact, and so on.

實踐首要功能 — 「香港品質保證局網上專題研討會 2020」匯集了多位來自不同業界、非官方組織、協會及政府部門的講者，就可持續發展投資及管理策略的趨勢、新冠病毒的影響等，分享獨到見解和經驗，並介紹國際標準的最新發展。

Contributing 全力貢獻社會

Contributing ourselves to the society is always on the top of our agenda. We keep on serving the society under the guidance of our mission statement. We sincerely hope the HKQAA Symposium can benefit the industry and society as a whole.

全力貢獻社會 — 是香港品質保證局管理層的首要工作，我們將繼續秉承服務社會的使命。誠切希望香港品質保證局舉辦的專題研討會能裨益業界及社會。

Programme 研討會程序

► **Date** 23 October 2020 (Friday)
日期： 2020年10月23日（星期五）
► **Format** Online Symposium via Zoom
形式： 網上研討會以 Zoom 形式進行

► **Time** 2:30pm – 4:40pm
時間： 下午2時30分至4時40分
► **Language** English
語言： 英語

► **Officiating Guest 主禮嘉賓：**

The Hon Mrs Carrie Lam Cheng Yuet-ngor, GBM, GBS

林鄭月娥女士，大紫荊勳賢，GBS

The Chief Executive of the Hong Kong Special Administrative Region

香港特別行政區行政長官

► **Opening remarks by 嘉賓致辭：**

Mrs Laura May Lung Cha, GBM, GBS, JP

史美倫女士，大紫荊勳賢，GBS，太平紳士

Chairman of Hong Kong Exchanges
and Clearing Limited

香港交易及結算所有限公司主席

Mr Christopher Hui Ching-yu, JP

許正宇先生，太平紳士

Secretary for Financial Services and the
Treasury, the Government of the HKSAR

香港特別行政區政府財經事務及
庫務局局長


Mr Wong Kam-sing, GBS, JP


黃錦星先生，GBS，太平紳士

Secretary for the Environment,
the Government of the HKSAR

香港特別行政區政府環境局局長


► **Programme 流程**

 Welcoming, Opening & Introductory Remarks
歡迎辭、開幕辭及簡介發言


 Green Collaborating in the International Capital Market
國際資本市場中之綠色合作

 Sustainable Financing: 2030 Agenda Regional and Local Transformation
可持續融資：聯合國的2030可持續發展目標 — 區域及本地之轉型

 Sustainable Investing
可持續投資


 Seize the Opportunities under the Belt and Road
把握「一帶一路」機遇

 Latest Development of ISO 14030 & ISO 32210 for Sustainable Finance
ISO 14030及ISO 32210可持續發展金融標準之最新發展

 COVID-19's Impact on the Sustainable Lending Market
疫情對可持續借貸市場的影響

 Result Announcement of Hong Kong Sustainable Finance Awards 2020
香港可持續發展金融大獎2020結果公佈

 The Role of Banking Sector in Promoting Sustainable Finance: Past and Future Development
銀行業推動可持續發展金融的角色：回顧與展望

 How to Move Towards Sustainable Development in FMCG Industry
快速消費品行業 (FMCG) 如何邁向可持續發展

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Profile of Speakers

講者簡介



Dr Ma Jun 馬駿博士

Chairman & President, Hong Kong Green Finance Association
香港綠色金融協會主席及會長

Before joining Tsinghua University, he was the Chief Economist at the Research Bureau of the People's Bank of China (PBOC) from 2014 to 2017. Prior to that, he worked for 13 years at Deutsche Bank, where he was Managing Director, Chief Economist for Greater China, and Head of China and Hong Kong Strategy. From 1992 to 2000, he worked as public policy specialist, economist and senior economist at the International Monetary Fund and World Bank. From 1988 to 1990, he was a research fellow at the Development Research Center of China's State Council.



Prof Christine Loh, SBS, JP, OBE 陸恭蕙教授, SBS, 太平紳士, OBE

Chief Development Strategist, Division of Environment and Sustainability, The Hong Kong University of Science and Technology
香港科技大學環境及可持續發展學部首席發展顧問

Christine Loh is the Chief Development Strategist at Institute for the Environment, Hong Kong University of Science and Technology, as well as Visiting Professor, Anderson School of Management, UCLA. She was the former Undersecretary for the Environment (2012-17), a former legislator in Hong Kong, and founder and former CEO of the non-profit think tank Civic Exchange. Professor Loh has a long history in both the commercial world prior to her career in public policy and politics. She is the author of many academic and popular works on urban and environmental issues, politics and geopolitics, as well as history and the arts. She serves on the boards of CDP Worldwide, Global Maritime Forum and the Robert HN Ho Family Foundation. She is also Advisor to the Hong Kong Green Finance Association, HSBC's Climate Advisory Panel, and BASF's Stakeholder Advisory Council.

Profile of Speakers

講者簡介



Ms Grace Hui 許淑嫻女士

Managing Director, Head of Green and Sustainable Finance,
Markets Division, Hong Kong Exchanges and Clearing Limited
香港交易及結算所有限公司市場科綠色及可持續發展金融主管

Grace Hui is the Head of Green and Sustainable Finance at Hong Kong Exchanges and Clearing Limited (HKEX) with responsibility for the development of HKEX's green and sustainable finance programme including exploring the creation of commercial propositions to facilitate sustainable financing for environmentally-aligned companies. From 2013 to April 2020, Ms. Hui was the Chief Operating Officer of HKEX's Listing Division overseeing structured products & fixed income, primary market information, listing policies, listing enforcement and accounting affairs. Prior to that, Ms. Hui was with UBS in various roles in Hong Kong and in New York, including Chief of Staff and Global Chief Operating Officer of UBS Legal & Compliance Department. Ms. Hui holds a Bachelor of Science degree in Mathematical Sciences from The London School of Economics and Political Science and is a qualified lawyer in Hong Kong and in England & Wales. Ms. Hui is a member of the Sustainability Committee of The Hong Kong Institute of Certified Public Accountants and co-chairs the Market Development Work Stream of the Green and Sustainable Finance Cross-Agencies Steering Group established by Hong Kong Monetary Authority and Securities and Futures Commission.



Mr Denis Yip 葉成輝先生

Commissioner for Belt and Road
Commerce and Economic Development Bureau
The Government of the Hong Kong Special Administrative Region
香港特別行政區政府商務及經濟發展局「一帶一路」專員

Mr Denis Yip was appointed by the Hong Kong SAR government as the Commissioner for Belt and Road and assumed office on 24 June 2019. He aspires to lead the Belt and Road Office under the Commerce and Economic Development Bureau to promote connections with the relevant sectors in taking forward Hong Kong's full participation in the Belt and Road Initiative.

Mr Yip had worked in Hong Kong, Beijing, Guangzhou, San Francisco, Shanghai and Tokyo. Mr Yip holds a bachelor's and a master's degree in Electrical Engineering and Computer Sciences from University of California, Berkeley. He also holds an MBA degree from Golden Gate University.

From 2017 to early 2019, Mr Yip served as President and Director of the Digital China Holdings Company and the Fujian Start Group respectively. He had been the Senior Vice President and the President of Greater China of EMC Corporation since July 2006, and the Senior Vice President and President of Greater China of Dell Technologies Inc. since 2016.

Profile of Speakers

講者簡介



Dr Nigel H Croft 倪國夫博士

Convener of ISO/IEC Global Task Force for Management System Standards

國際標準組織 ISO/IEC 管理體系標準全球工作組召集人

Dr Nigel H Croft is recognised as one of the world's foremost experts in quality management and conformity assessment of management systems. He is the Former Chairman of ISO/TC176/SC2, the ISO subcommittee responsible for the ISO 9001 and ISO 9004 standards development, and currently serves as Convener of the Global ISO/IEC Task Force responsible for revising the "high-level structure" for ISO and IEC's suite of over 50 management system standards.

Among his many professional activities, Dr Croft holds senior management positions and is a non-executive board member of a number of commercial and non-profit organisations in Europe, the Middle East, Asia and the Americas. He is also a senior consultant with the United Nations Industrial Development Organization.

Born in the UK, but with dual British and Brazilian citizenship, Dr Croft holds a first class honours degree in Natural Sciences from Cambridge University, and a Doctorate in Materials Science from Sheffield University. He is a Chartered Engineer and Chartered Quality Professional in the UK, a Fellow of the Chartered Quality Institute and a Senior Member of the American Society for Quality. In 2017 he was awarded the American Society for Quality's Freund-Marquardt medal, "For his passion, dedication and leadership in the application of quality management principles to the development, promotion and implementation of quality management system standards on a global scale, for over twenty years", and in 2018 he was the recipient of an Honorary Award from the UK's Chartered Quality Institute "for his substantial contribution to the evolution of the ISO 9000 family – the world's most popular set of quality management standards and for his leadership on the development of ISO 9001, among other major standards, which has given companies worldwide the tools to drive improvement and embed quality across all aspects of the business".



Ms Rosamund Barker 貝樂沙女士

Head of Legal, Asia Pacific Loan Market Association

亞太區貸款市場公會法律部主管

Rosamund is currently Head of Legal at the Asia Pacific Loan Market Association having spent much of her career working in the Banking and Finance and Capital Markets teams at Linklaters in London and Hong Kong, most recently as Counsel Professional Support Lawyer. She was also Director of Knowledge Management for Asia Pacific at Baker McKenzie. She has been active in raising awareness of the APLMA's Green Loan Principles and Sustainability Linked Loan Principles to Borrowers and Lenders in the region. She is a qualified solicitor in Hong Kong and England and Wales, and a law graduate from the University of Cambridge.

Profile of Speakers

講者簡介



Ms Lu Ying 盧瑩女士

**General Manager of Global Corporate Banking Department,
Bank of China (Hong Kong) Limited**
中國銀行（香港）有限公司環球企業金融部總經理

Ms. Lu joined Bank of China in July 1999, since then she has held various important roles in Corporate Banking Department at the Head Office. The last position in the Head Office was the Assistant General Manager of Corporate Banking Department. From July 2011 to August 2016, Ms. Lu worked for BOC International Holdings Limited as Assistant CEO and then as Deputy CEO. With effect from August 2016, Ms. Lu has been appointed as the General Manager of Global Corporate Banking Department of Bank of China (Hong Kong) Limited.

Ms. Lu holds a Bachelor's Degree and a Master's Degree in International Economics from Peking University.



Ms Li Jielin Karen 李潔琳女士

Chief Executive Officer, Vinda International Holdings Limited
維達國際控股有限公司行政總裁

Ms. Li Jielin Karen was appointed as Executive Director in Vinda International Holdings Limited on 1 October 2015 and as Chief Executive Officer on 1 October 2020. She was Deputy Chief Executive Officer between 1 October 2015 and 30 September 2020. Ms. Li had been the Chief Human Resources Officer and President, North Asia. Ms. Li joined the Company in 2012 as the Managing Director of Vinda Household Paper (Australia) Limited and the Business Development Manager of the Company, and she has been responsible for overseas business development. She was the Group's Chief Strategy Officer and the Managing Director of Vinda Household Paper (Australia) Limited since November 2014. Ms. Li graduated from Macquarie University in 2008 with combined bachelor degrees in Accounting and Business Administration.

連繫

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